

# **Producer Agreement**

gency Name:	
gency Mailing Address:	
ty, State, Zip:	
ederal Tax ID Number:	
gency Phone Number:	
ame of Primary Contact at Agency:	
nail Address of Primary Contact:	

This Producer Agreement (the "Agreement") is entered into between the brokerage or agency set forth above ("Producer") and NSM Insurance Services, LLC dba Heacock Classic ("Heacock') (each a "party" and collectively the "parties") as of the date of Heacock's signature set forth below (the "Effective Date"). In consideration of the mutual covenants and agreements hereinafter set forth and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

#### 1 DUTIES AND PRIVILEGES OF PRODUCER

- 1.1. Subject to the other terms and conditions of this Agreement, Heacock hereby permits Producer to sell, and act as a nonexclusive agent of record for, certain insurance coverages made available through Heacock (the "Subject Business") in compliance with all rules established by Heacock and any applicable insurers or managing general agents. Producer is further authorized to receive and accept applications and premiums for the Subject Business.
- 1.2. Producer is responsible for maintaining appropriate field underwriting practices to ensure acceptable underwriting quality in customer application submissions, and for reporting immediately to Heacock any occurrence that may result in a loss or claim.
- 1.3. The Primary Contact listed above agrees that he or she will remain as the producer of record on all submissions to Heacock unless the insured requests a change of producer.
- 1.4. All required documents received or collected by Producer shall be forwarded to Heacock within twenty (20) days of binding coverage.
- 1.5. Producer acknowledges that it has no binding authority or appointment of any kind in respect of the Subject Business, and that risks submitted to Heacock by Producer or Producer's employees are not bound unless and until Heacock advises in writing that they are bound.

#### 2 REPRESENTATIONS AND WARRANTIES

- 2.1. Producer warrants that it has, and shall maintain during the term of this Agreement, all licenses required to perform under the Agreement, and that it shall comply with all applicable laws required to perform under the Agreement.
- 2.2. Producer warrants and represents that it has in force Errors & Omissions insurance coverage with a limit per occurrence of at least one million dollars (\$1,000,000). Producer further agrees that such Errors & Omission coverage will remain in force so long as the Subject Business remains in force.

#### 3 COMMISSIONS

- 3.1. Heacock agrees to allow Producer a commission on business submitted through Heacock as reflected in the applicable exhibits, addendums, and/or schedules made a part of this Agreement.
- 3.2. Producer agrees to refund to Heacock any unearned commissions on business placed with Heacock at the same commission level as was originally received by the Producer.

#### 4 TERM AND TERMINATION

- 4.1. The term of this Agreement commences on the Effective Date and continues for one (1) year, at which time the Agreement will automatically renew for additional successive one (1) year terms unless Heacock provides written notice of nonrenewal to Producer prior to the expiration of the then-current term.
- 4.2. This Agreement may be terminated by either party, without cause, upon written notice to the other, mailed or delivered, not less than thirty (30) days in advance of the effective date of termination, subject to any provision of law requiring longer notice.
- 4.3. This Agreement shall terminate immediately upon either party giving notice to the other in the event of abandonment, insolvency, bankruptcy, or gross or willful misconduct on the part of such other party.
- 4.4. In the event of the sale or transfer of the Producer's business, this agreement shall automatically terminate, effective on the date of sale or transfer, unless prior written consent is obtained from Heacock.
- 4.5. In the event of termination by either party, the outstanding unexpired Subject Business shall continue in force, subject to Heacock's normal underwriting standards. Heacock and Producer shall continue to comply with the terms of this Agreement to ensure the orderly servicing of the Subject Business until its expiration or termination.

#### 5 HOLD HARMLESS

- 5.1. Producer will indemnify and hold harmless Heacock and its directors, officers, and employees from any and all claims, suits, damages, and all other costs and fees (including attorney's fees and expenses) arising out of or resulting from: Producer's breach of this Agreement, any misrepresentation by Producer relating directly or indirectly to policies written by Producer, and any error or omission by Producer relating to the Subject Business. Producer will immediately notify Heacock regarding any notice of action relating to any of the liabilities referenced in this Section.
- 5.2. Producer agrees that it is responsible for the acts or omissions of any employee or other person associated with Producer, as though such acts or omissions were the Producer's.

#### 6 GOVERNING LAW & CONFORMITY TO STATUTE

- 6.1. The provisions of this Agreement are to be construed in accordance with the laws of the Producer's state.
- 6.2. Suits against Heacock may only be brought in the Producer's domicile state.
- 6.3. Should any provision in this Agreement be deemed to be in conflict with state or local laws or regulations, such provision is hereby amended to conform to said law or regulation, without abrogating the remainder of this Agreement.

#### 7 MISCELLANEOUS

- 7.1. This Agreement supersedes any and all previous Agreements, including Amendments thereto, whether oral or written, between Heacock and Producer.
- 7.2. Heacock may unilaterally amend this Agreement, and any exhibits, addendums, or schedules attached hereto, by notifying Producer in writing of the amendment, or by any other

reasonable notification method. In such cases, Producer may obtain the most recent version of this Agreement by requesting a copy. Continued submission of Subject Business to Heacock following notice of amendment constitutes acceptance of any such amendment.

- 7.3. Producer may not sell or assign, nor extend to the benefit of any of Producer's successors, any interest under this Agreement without the prior written consent of Heacock (which consent will not be unreasonably withheld).
- 7.4. Heacock's failure to insist on strict compliance with this Agreement, or to exercise any right under it, shall not be a waiver of said rights.

Signature Page Follows

<b>IN WITNESS WHEREOF,</b> the parties hereto have made this as defined in the Agreement.	Agreemen	effective as of the Effective Date,
Heacock Classic Authorized Signature:		
	Title:	COO
	Date:	
PRODUCER'S AUTHORIZED SIGNATURE		
If signing this Agreement electronically, I AGREE to enter into I consent to be notified by email at the indicated email addit that whenever my signature or consent is required in consignature or consent shall be deemed to be sufficient and accelectronic signature or transaction regulations, and such electronic acceptance of the terms that I agree to.	ress regard nnection w cceptable ur	ing this Agreement. I acknowledge ith this Agreement, my electronic nder any applicable state or federal
Producer's Authorized Signature		
(If signing electronically, please type the full name of person authorized to sign on $\boldsymbol{b}$	ehalf of the agei	ncy/brokerage)
Title:		
Date: To submit this agreement, simply email this completed documents:	d form to u	us with a copy of the following
1 Current E&O declarations nade		

- Current E&O declarations page
   Current agency resident license
   Any additional non-resident license(s)
- 4. Completed W9 form



# **Request for Taxpayer Identification Number and Certification**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	I Name (as snown on your income tax return). Name is required on this line, do not leave this line blank.								
	2 Business name/disregarded entity name, if different from above								
on page 3.					4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):				
ns e	single-member LLC		Exer	npt payee	code	(if any)			
ty p	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partne	rship) ▶	_			_			
Print or type. See Specific Instructions on page	Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.				code (if any)				
eci	☐ Other (see instructions) ▶		(Appli	es to accounts	s mainta	iined outsid	e the U.S.)		
Sp	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's nar	ne and a	ddress (op	tional	)			
See									
0,	6 City, state, and ZIP code								
	7 List account number(s) here (optional)								
Par									
	your TIN in the appropriate box. The TIN provided must match the name given on line 1 to av	0.0	security	number					
	up withholding. For individuals, this is generally your social security number (SSN). However, the sent alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other	or a	_	-	_				
entitie	es, it is your employer identification number (EIN). If you do not have a number, see <i>How to ge</i>	et a			]	$\Box$			
TIN, la	ater.	or							
	If the account is in more than one name, see the instructions for line 1. Also see What Name	and Emplo	yer ident	r identification number					
Numb	per To Give the Requester for guidelines on whose number to enter.		1 _1						
Par	t II Certification								
Unde	r penalties of perjury, I certify that:								
2. I ar Ser	e number shown on this form is my correct taxpayer identification number (or I am waiting for n not subject to backup withholding because: (a) I am exempt from backup withholding, or (b rvice (IRS) that I am subject to backup withholding as a result of a failure to report all interest longer subject to backup withholding; and	) I have not bee	n notifie	d by the	Inter				
3. I ar	n a U.S. citizen or other U.S. person (defined below); and								
4. The	e FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting	na is correct.							

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid,

other than	1 1 2	outions to an individual retirement arrangement (IRA), and generally, payments in, but you must provide your correct TIN. See the instructions for Part II, later.	
Sign Here	Signature of U.S. person ▶	Date <b>▶</b>	

# **General Instructions**

Section references are to the Internal Revenue Code unless otherwise

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

## **Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN). individual taxpaver identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding,



**Section 1** 

LAST NAME:	FIRST NAME:	
MIDDLE NAME:	DATE OF BIRTH: _	
SOCIAL SECURITY NUMBER:	EMAIL ADDRESS:	
NAME OF AGENCY (AS LICENSED):		
OFFICE LOCATION ADDRESS:		
CITY:	STATE:	_ ZIP CODE:
OFFICE MAILING ADDRESS (IF DIFFERENT FROM LOCATION	N ADDRESS):	
CITY:	STATE:	_ ZIP CODE:
AGENCY PHONE #:	AGENCY FAX :	#:



# Exhibit A Commissions

### **COMMISSION SCHEDULE**

- . Heacock Classic Insurance shall pay the producer 10% commission on all new business accepted and paid to Heacock.
- . Heacock Classic Insurance shall pay the producer 10% commission on all renewal offers paid to Heacock.

These conditions shall remain unchanged unless modified by Heacock in writing.